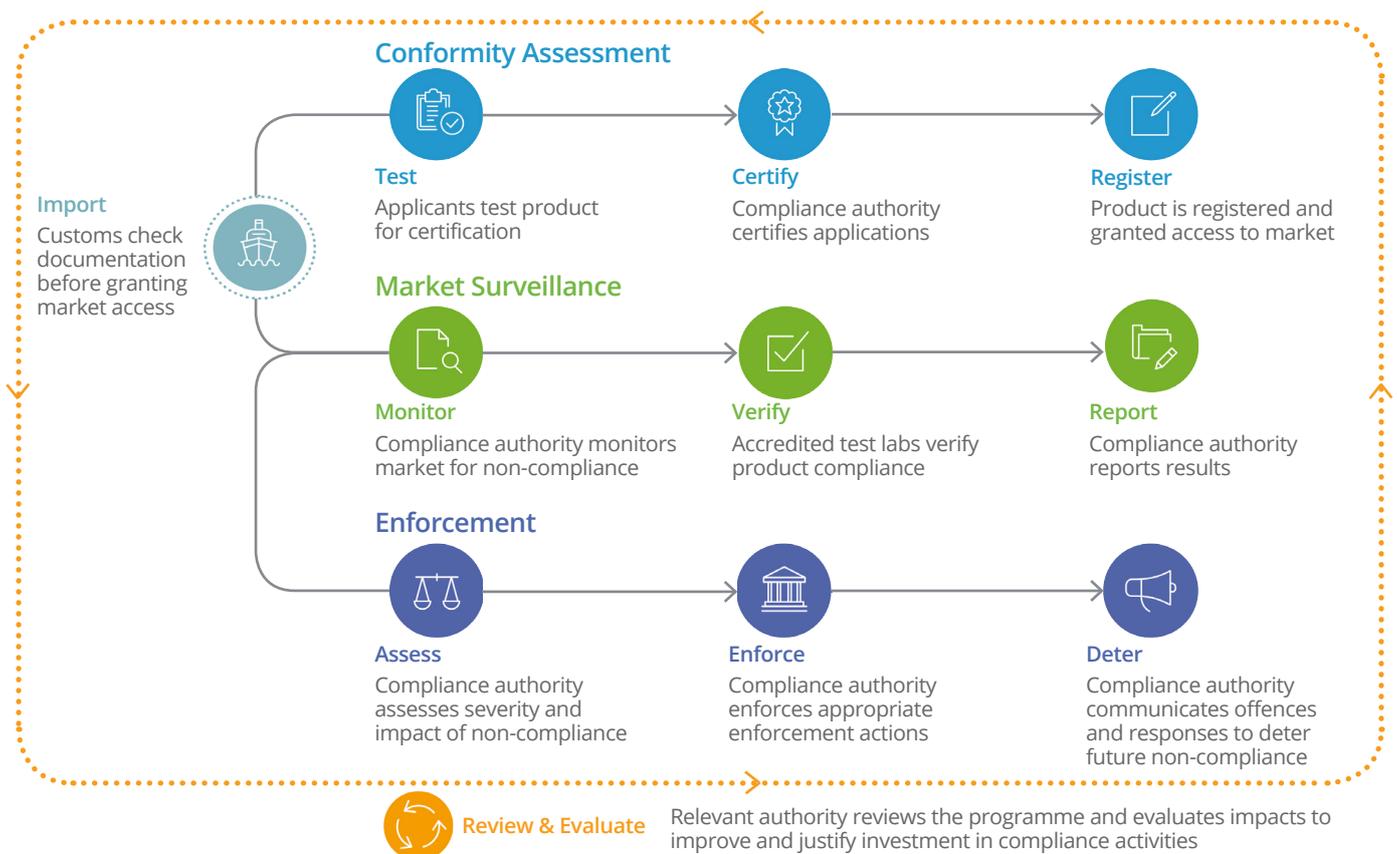




Compliance Protects Energy Efficiency Policies

Strategic compliance programmes are critical to safeguarding energy savings, CO₂ emissions reductions, and other benefits from appliance efficiency programmes. A holistic compliance framework bolsters the credibility of government energy efficiency programmes, protects consumers, and creates a fair playing field for suppliers of energy-efficient and quality products.



Robust, cost-effective compliance frameworks protect the market from products that do not meet national standards by identifying low-quality products and improving or removing them through three key steps:

Conformity Assessment involves evaluating products before market entry through product testing, certification, and registration, to check they comply with national requirements.

Market Surveillance helps identify non-compliant products on the market through monitoring activities, verification testing, and reporting of results.

Enforcement corrects and discourages non-compliance, by assessing severity of cases and taking appropriate and proportionate enforcement action to deter future offenses.

Establishing a Compliance Framework

A national compliance framework should be designed and implemented in parallel with the development of standards and labels to secure and maximize programme impacts.

When setting up a framework, consider:



Programme Design and Legal Foundation

A compliance framework should be designed to meet programme and market needs, as the size and nature of the market - whether it is a manufacturing or import economy - will impact how and where to target non-compliance. A robust legislative framework sets out the responsibilities of all stakeholders, and is best supported by administrative guidelines that explain the legislation.



Clear Roles and Responsibilities

Institutional collaboration supports effective compliance when roles and responsibilities of all actors are clearly defined. The energy, standards, and customs authorities may all have a role to play and can benefit from contributions from others, such as anti-counterfeiting bodies, or civil society and industry. Responsibilities should be set out in the legislative framework, and guidance on collaboration can be included in accompanying guidelines.



Programme Budget

Compliance activities cost less than 1% of savings from appliance energy efficiency policies, making them a highly cost-effective method to safeguard the integrity of standards and labels. Budgets should be designed based on individual market needs, impacts of different monitoring activities, and the availability of funding. Any compliance efforts are better than no compliance efforts!



Regional Collaboration

Collaborating with other governments can offer in-kind resources that strengthen national compliance programmes and reduce costs. For example, when product test procedures are aligned, test results and non-compliance notifications can be shared with neighbouring economies so they can also take action. Regional collaboration can help increase the number of products monitored, verified, and enforced, especially across free-trade areas.



Communicating, Monitoring & Evaluating Programme Efficacy

Communications support all compliance strategies, ensuring all stakeholders understand their responsibilities and the consequences of non-compliance. Continuous monitoring and evaluation of programme effectiveness and impacts will ensure regular improvements are made and help secure renewed investment in compliance.

In Practice: Registration Fees Support Compliance Budget in Australia

As part of their conformity assessment process, Australia's Greenhouse and Energy Minimum Standards Act requires registration before products can be sold on the market. Suppliers must pay a fee for each product registered, ranging from \$440 to \$780 AUD. This covers a five-year registration period and must be renewed once expired. These fees are based on the cost to test products to verify they comply with programme requirements. Registration fees contribute to the budget to process applications, maintain the product registration database, undertake market surveillance activities, and deliver improvements to the compliance programme.

Our Compliance Services

CLASP partners with governments, international institutions, and other stakeholders to design and implement robust and resource-effective compliance frameworks, with the goal of safeguarding the expected benefits of appliance energy efficiency policies and programmes. CLASP creates and shares globally trusted resources on how to build and maintain compliance frameworks, and provides capacity building and training to policymakers, test laboratories, and other key partners. Learn more about CLASP and our compliance work at clasp.ngo/impact/compliance.

CLASP improves the energy and environmental performance of the appliances & equipment we use every day, accelerating our transition to a more sustainable world. Our programs increase uptake of affordable, low-impact, high-quality appliances. We serve as the leading international voice & resource for appliance energy efficiency policies and market acceleration initiatives.